

(c). for the Forms IEPF-4 and IEPF-7, the following Forms shall be substituted, namely:—

FORM NO. IEPF-4

[Pursuant to rule 6(5), 6(8), 6A(5) and 6A(8) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016]



Statement of shares transferred to the Investor Education and Protection Fund

Form language o English o Hindi
Refer instruction kit for details.

Note 1 - Please adhere to the 'Process for uploading Investor-wise details' as mentioned on the Acknowledgment, to be generated upon upload of this eForm.

Note 2 - For each SRN of IEPF-4 different IEPF-4 forms needs to be filed for benefits accruing on shares.

1. (a) *Corporate identity number (CIN) of company Pre fill

(b) Global Location Number (GLN) of company

2. (a) Name of the company/bank

(b) Address of registered office of the company/bank

(c) *Email id of the company/bank

3. (a) *Total nominal amount of shares transferred to the IEPF account

(b) *Total number of shares transferred

4. Purpose of filing

1. SRN of form IEPF-1/1-INV/IEPF-4 Prefill

2. Date of Declaration of benefits accruing on Shares Transferred to IEPF.

3. Date of Corporate Action to Demat account of IEPF Authority.

4. *Financial Year to which the amount relates

Attachments

- *Copy of Newspaper advertisement or order of a copy of order of the Tribunal under sub-section (8) of section 90 of the Act along with a declaration that no application under sub-section (9) of section 90 of the Act
- Optional attachments, if any.

List of attachments

Attach	<input type="text"/>
Attach	<input type="text"/>

Declaration

I have been authorized by the Board of directors' resolution number* d * (DD/MM/YYYY) to sign and subr

To the best of my knowledge and belief, I declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I also declare that all the information given herein above is true, correct and complete including the attachments to this form and nothing material has been suppressed.

*To be digitally signed by

DSC Box

*Designation

*DIN of the director; or Income-tax permanent account number of the manager or CEO or CFO; or Membership number of the secretary

Note: Attention is also drawn to provisions of Section 448 and section 449 of Companies Act, 2013 which provide for punishment for false statement and false evidence.

Modify

Check Form

Prescrutiny

Submit

This eform has been taken on file maintained by IEPF Authority through electronic mode and on the basis of statement of correctness given by the company".

FORM NO. IEPF-7

[Pursuant to rule 6(14) and 6A(13) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016]



Statement of amounts credited to IEPF on account of shares transferred to the fund

Form language o English o Hindi
Refer instruction kit for details.

Note 1 - Please adhere to the 'Process for uploading Investor-wise details' as mentioned on the Acknowledgment, to be generated upon upload of this eForm.

Note 2. Please take a note that this form has to be filed only for one Financial Year at a time.

Purpose of filing

1.(a)*Corporate identity number (CIN) of company

Pre fill

(b) Global Location Number (GLN) of company

2. (a) Name of the company

(b) Address of registered office of the company

(c) *Email id of the company

3. *Name of Transfree Bank

4. *Bank Transaction ID

5. *Date of payment of amount to the fund

6. *Amount credited to the fund

7. *Details of the amount transferred to bank account of the fund

S. No.	Particulars	Amount (in Rupees)	Date by which amount should have been credited to the fund
(a)	Dividend on shares transferred to IEPF		
(b)	Proceeds realized on delisting of companies with respect to shares transferred to IEPF		
(c)	Proceeds realized on winding up of companies with respect to shares transferred to IEPF		
(d)	Others		
	Total		

8. *Financial Year to which the amount relates

Attachments

- *Copy of Resolution declaring dividend/ winding up order/ delisting order
- Optional attachments, if any.

List of attachments

Attach

Attach

Remove attachment

Declaration

I have been authorized by the Board of directors' resolution number* Dated * (DD/MM/YYYY) to sign and submit this form.

To the best of my knowledge and belief, I declare that all the requirements of Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I also declare that all the information given herein above is true, correct and complete including the attachments to this form and nothing material has been suppressed.

*To be digitally signed by

DSC Box

*Designation

*DIN of the director; or PAN of the manager or CEO or CFO; or Membership number of the company secretary.

Note: Attention is also drawn to provisions of Section 448 and section 449 of Companies Act, 2013 which provide for punishment for false statement and false evidence.

Modify

Check Form

Prescrutiny

Submit

This e-form has been taken on file maintained by IEPF Authority through electronic mode and on the basis of statement of correctness given by the company."

[F. No. 05/4/2020-IEPF]
MANOJ PANDEY, Jt. Secy.

Note: The principal rules were published in the Gazette of India *vide* number G.S.R. 854 (E), dated the 5th September, 2016 and amended *vide* notification number G.S.R. 178(E) dated 28th February, 2017, G.S.R. 1267 (E) dated 13th October, 2017, G.S.R. 472 (E) dated 22.05.2018, G.S.R. 343(E) dated 1st May, 2019 and G.S.R. 371(E) dated 14th August, 2019.